

103^D CONGRESS
2^D SESSION

H. R. 3842

To amend the Head Start Act to extend authorization of appropriations for programs under that Act, to strengthen provisions designed to provide quality assurance and improvement, to provide for orderly and appropriate expansion of such programs, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

FEBRUARY 10, 1994

Mr. MARTINEZ (for himself, Mr. FORD of Michigan, Mr. GOODLING, Ms. MOLINARI, Mr. GEPHARDT, Mr. CLAY, Mr. MILLER of California, Mr. MURPHY, Mr. KILDEE, Mr. WILLIAMS, Mr. OWENS, Mr. SAWYER, Mr. PAYNE of New Jersey, Mrs. UNSOELD, Mrs. MINK, Mr. SCOTT, Mr. ENGEL, Mr. GENE GREEN of Texas, Ms. WOOLSEY, Mr. ROMERO-BARCELÓ, Mr. CASTLE, Mr. DE LUGO, Mr. FALEOMAVAEGA, Mr. BAESLER, and Mr. UNDERWOOD) introduced the following bill; which was referred to the Committee on Education and Labor

A BILL

To amend the Head Start Act to extend authorization of appropriations for programs under that Act, to strengthen provisions designed to provide quality assurance and improvement, to provide for orderly and appropriate expansion of such programs, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

1 **SECTION 1. SHORT TITLE; REFERENCES IN ACT; TABLE OF**
 2 **CONTENTS.**

3 (a) This Act may be cited as the “Head Start Act
 4 Amendments of 1994”.

5 (b) Except where otherwise specifically provided, ref-
 6 erences in this Act shall be considered to be made to the
 7 Head Start Act, or to a section or other provision thereof.

8 (c) TABLE OF CONTENTS.—

- Sec. 1. Short title; references in Act.
- Sec. 2. Monitoring and quality assurance.
- Sec. 3. Appeals, notice, and hearing.
- Sec. 4. Staff qualifications and development.
- Sec. 5. Goals and priorities for training and technical assistance.
- Sec. 6. Allocation of funds for program expansion.
- Sec. 7. Allocation and use of funds for quality improvement.
- Sec. 8. Transition coordination with schools.
- Sec. 9. Research, demonstrations, evaluation, and reports.
- Sec. 10. Initiative on families with infants and toddlers.
- Sec. 11. Enhanced parental involvement.
- Sec. 12. Authorization of appropriations.
- Sec. 13. Minor and technical amendments.
- Sec. 14. Effective date.

9 **SEC. 2. MONITORING AND QUALITY ASSURANCE.**

10 (a) IN GENERAL.—The Act is amended by inserting
 11 after section 641 the following new section:

12 “QUALITY STANDARDS; MONITORING OF HEAD START
 13 AGENCIES AND PROGRAMS

14 “SEC. 641A. (a) QUALITY STANDARDS.—(1) ESTAB-
 15 LISHMENT OF STANDARDS.—The Secretary shall establish
 16 by regulation standards applicable to Head Start agencies,
 17 programs, and projects under this subchapter, including—

18 “(A) performance standards with respect to
 19 services required to be provided, including health,

1 education, parental involvement, social and other
2 services;

3 “(B) administrative and financial management
4 standards;

5 “(C) standards relating to the condition and lo-
6 cation of facilities; and

7 “(D) such other standards as the Secretary
8 finds appropriate.

9 “(2) MINIMUM REQUIREMENTS.—The regulations
10 under this subsection shall indicate the minimum levels
11 of overall accomplishment that a Head Start agency or
12 program must achieve in order to meet the standards spec-
13 ified in paragraph (1).

14 “(3) CONSIDERATIONS IN DEVELOPING STAND-
15 ARDS.—In developing the regulations required under para-
16 graph (1), the Secretary shall—

17 “(A) consult with experts in the fields of child
18 development, early childhood education, family serv-
19 ices, administration, and financial management, and
20 with persons with experience in the operation of
21 Head Start programs; and

22 “(B) take into consideration—

23 “(i) past experience with use of the stand-
24 ards currently in effect;

1 “(ii) changes over the period the program
2 has been in effect in the circumstances and
3 problems typically facing Head Start children
4 and families;

5 “(iii) developments concerning best prac-
6 tices with respect to child development, family
7 services, program administration, and financial
8 management; and

9 “(iv) projected needs of an expanding
10 Head Start program;

11 “(C) not later than one year after enactment of
12 this section, review and revise as necessary the per-
13 formance standards in effect under this subchapter
14 on the date of enactment of this section (but any re-
15 visions in performance standards shall not result in
16 the elimination of or any reduction in the scope or
17 types of health, education, parental involvement, so-
18 cial, or other services required to be provided under
19 such standards in effect on November 2, 1978).

20 “(b) PERFORMANCE MEASURES.—(1) IN GEN-
21 ERAL.—Within one year after enactment of this section,
22 the Secretary, in consultation with representatives of
23 Head Start agencies and with experts in the fields of child
24 development, family services, and program management,
25 shall develop methods and procedures for measuring, an-

1 nually and over longer periods, the quality and effective-
2 ness of programs operated by Head Start agencies.

3 “(2) DESIGN OF MEASURES.—The performance
4 measures developed under this subsection shall be de-
5 signed—

6 “(A) to assess the various services provided by
7 Head Start programs and, to the extent the Sec-
8 retary finds appropriate, administrative and finan-
9 cial management practices;

10 “(B) to be adaptable for use in self-assessment
11 and peer review of individual Head Start agencies
12 and programs; and

13 “(C) for other program purposes as determined
14 by the Secretary.

15 “(3) USE OF MEASURES.—The Secretary shall use
16 the performance measures developed pursuant to this sub-
17 section—

18 “(A) to identify strengths and weaknesses in
19 the operation of Head Start programs nationally and
20 by region; and

21 “(B) to identify problem areas that may require
22 additional training and technical assistance re-
23 sources.

24 “(c) MONITORING OF LOCAL AGENCIES AND PRO-
25 GRAMS.—(1) IN GENERAL.—In order to determine wheth-

1 er Head Start agencies meet standards established under
2 this subchapter with respect to program, administrative,
3 fiscal, and other requirements, the Secretary shall conduct
4 the following reviews of designated Head Start agencies,
5 and of the Head Start programs operated by such agen-
6 cies:

7 “(A) a full review of each such agency at least
8 once during each 3-year period;

9 “(B) a review of each newly designated agency
10 immediately after the completion of the first year
11 such agency carries out a Head Start program;

12 “(C) follow-up reviews including prompt return
13 visits to agencies and programs that fail to meet
14 minimum standards for participation; and

15 “(D) other reviews as appropriate.

16 “(2) CONDUCT OF REVIEWS.—The Secretary shall
17 ensure that reviews described in subparagraphs (A)
18 through (C) of paragraph (1)—

19 “(A) are performed, to the maximum extent
20 practicable, by employees of the Department of
21 Health and Human Services who are knowledgeable
22 about Head Start programs; and

23 “(B) are supervised by such an employee at the
24 site of such Head Start agency.

1 “(d) CORRECTIVE ACTION; TERMINATION.—(1) If
2 the Secretary determines, on the basis of a review pursu-
3 ant to subsection (c), that a Head Start agency designated
4 pursuant to section 641 fails to meet the minimum stand-
5 ards for participation in programs under this subchapter,
6 the Secretary shall—

7 “(A) inform the agency of the deficiencies that
8 must be corrected;

9 “(B) with respect to each identified deficiency,
10 at the Secretary’s discretion (taking into consider-
11 ation the seriousness of the deficiency and the time
12 reasonably required to correct it), require the agen-
13 cy—

14 “(i) to correct the deficiency immediately,
15 or

16 “(ii) to comply with the requirements of
17 paragraph (2) concerning a quality improve-
18 ment plan; and

19 “(C) initiate proceedings to terminate the des-
20 ignation of the agency unless the agency corrects the
21 deficiency as required by the Secretary pursuant to
22 subparagraph (B).

23 “(2) QUALITY IMPROVEMENT PLAN.—(A) Agency
24 Responsibilities.—In order to retain its designation under

1 this subchapter, a Head Start agency that is the subject
2 of a determination described in paragraph (1) shall—

3 “(i) develop in a timely manner, obtain the Sec-
4 retary’s approval of, and implement a quality im-
5 provement plan that specifies—

6 “(I) the deficiencies to be corrected;

7 “(II) the actions to be taken to correct
8 such deficiencies; and

9 “(III) the timetable for accomplishment of
10 the corrective actions identified; and

11 “(ii) eliminate each deficiency identified, not
12 later than the date for elimination of such deficiency
13 specified in such plan (which shall not be later than
14 one year after the date the agency received notice of
15 the determination and of the specific deficiencies to
16 be corrected).

17 “(B) SECRETARIAL RESPONSIBILITY.—Not later
18 than thirty days after receiving from a Head Start agency
19 a proposed quality improvement plan pursuant to subpara-
20 graph (A), the Secretary shall either approve such pro-
21 posed plan or specify the reasons why the proposed plan
22 cannot be approved.

23 “(3) TRAINING AND TECHNICAL ASSISTANCE.—To
24 the extent the Secretary finds feasible and appropriate
25 given available funding and other statutory responsibil-

ities, the Secretary shall provide training and technical assistance to Head Start agencies with respect to the development or implementation of quality improvement plans.

“(e) SUMMARIES OF MONITORING OUTCOMES.—The Secretary shall publish annually, following the end of each fiscal year, a summary report on the findings of reviews conducted pursuant to subsection (c) and on the outcomes of quality improvement plans under subsection (d).”.

“(b) EXPENDITURES FOR MONITORING AND RELATED ACTIVITIES.—Section 640(a)(2)(D) is amended by inserting “(including payments for all costs (other than compensation of Federal employees) of reviews of Head Start agencies and programs, and of activities related to the development and implementation of quality improvement plans, pursuant to section 641A)”.

“(c) CONFORMING AMENDMENTS.—

(1) Section 641(c) is amended by striking paragraphs (2) through (4).

(2) Section 641(d) is amended—

(A) in the first sentence, by striking all that precedes “then the Secretary” and inserting “If there is in a community no entity entitled to the priority specified in subsection (c),”;

(B) by striking the second sentence; and

1 (C) in the third sentence, by striking “and
2 subject to the preceding sentence”.

3 (3) Section 642(b)(4) is amended by striking
4 “in accordance with the performance standards in
5 effect upon section 651(b)” and inserting “either
6 through such program”.

7 (4) Section 651(b) is repealed.

8 (5) Section 651(g)(10) is amended by striking
9 “evaluations conducted under section 641(c)(2)” and
10 inserting “monitoring conducted under section
11 641A(c)”.

12 **SEC. 3. APPEALS, NOTICE, AND HEARING.**

13 (a) ELIMINATION OF PROVISION FREEZING REGULA-
14 TIONS.—Section 646 is amended by striking subsection
15 (b).

16 (b) TERMINATION OF DESIGNATION NOT STAYED
17 PENDING APPEAL.—Section 646 is further amended by
18 adding at the end the following new subsection:

19 “(b) ADVERSE ACTION NOT STAYED PENDING AP-
20 PEAL.—In any case where a termination, reduction, or
21 suspension of financial assistance under this subchapter
22 is upheld in an administrative hearing under this section,
23 such termination, reduction, or suspension shall not be
24 stayed pending any judicial appeal of such administrative
25 decision.”.

1 **SEC. 4. STAFF QUALIFICATIONS AND DEVELOPMENT.**

2 (a) REQUIREMENTS CONCERNING STAFF QUALIFICA-
3 TIONS AND DEVELOPMENT.—(1) CLASSROOM TEACH-
4 ERS.—

5 (A) Section 648(b) is relocated and redesign-
6 dated as subsection (a) of a new section 648A, cap-
7 tioned as follows:

8 “STAFF QUALIFICATIONS AND DEVELOPMENTS”.

9 (B) Section 648A(a), as relocated and redesign-
10 dated, is further amended—

11 (i) by striking “(a)(1)” and inserting “(a)
12 CLASSROOM TEACHERS.—(1) DEGREE RE-
13 QUIREMENTS.—”;

14 (ii) in paragraph (1), by striking “1994”
15 and inserting “1996”;

16 (iii) in paragraph (2), by striking “(2)”
17 and inserting “(2) WAIVER.—”; and

18 (iv) in paragraph (2)(B), by striking “a
19 child development associate credential (CDA)”
20 and inserting “any credential specified in para-
21 graph (1)”.

22 (2) MENTOR TEACHERS; FAMILY SERVICE WORK-
23 ERS; FELLOWSHIPS.—Section 648A is further amended
24 by adding after subsection (a) the following new sub-
25 sections:

1 “(b) MENTOR TEACHERS.—(1) DEFINITION: FUNC-
2 TION.—For purposes of this subsection, a ‘mentor teacher’
3 is an individual responsible for observing and assessing
4 classroom activities and providing on-the-job guidance and
5 training to Head Start program staff and volunteers, in
6 order to improve the qualifications and training of class-
7 room staff, to maintain high quality education services,
8 and to promote career development.

9 “(2) REQUIREMENT.—In order to assist Head Start
10 agencies to establish positions for mentor teachers, the
11 Secretary shall—

12 “(A) provide technical assistance and training
13 to enable Head Start agencies to establish such posi-
14 tions;

15 “(B) give priority consideration, in providing
16 assistance pursuant to subparagraph (A), to Head
17 Start programs which have substantial numbers of
18 new classroom staff or which are experiencing dif-
19 ficulty in meeting applicable education standards;
20 and

21 “(C) encourage programs to give priority con-
22 sideration for such positions to Head Start teachers
23 at the appropriate level in the career ladders of such
24 programs.

1 “(c) FAMILY SERVICE WORKERS.—In order to im-
2 prove the quality and effectiveness of staff providing in-
3 home and other services to families of Head Start children
4 (including needs assessment, development of service plans,
5 family advocacy, and coordination of service delivery), the
6 Secretary, in collaboration with concerned public and pri-
7 vate agencies and organizations currently examining the
8 issues of standards and training for family service work-
9 ers, shall—

10 “(1) review and, as necessary, revise or develop
11 new qualification standards for Head Start staff
12 providing such services;

13 “(2) promote the development of model curric-
14 ula (on subjects including parenting training and
15 family literacy) designed to ensure the attainment of
16 appropriate competencies by individuals working or
17 planning to work in the field of early childhood and
18 family services; and

19 “(3) promote the establishment of a credential
20 indicating attainment of those competencies that is
21 accepted nationwide.

22 “(d) HEAD START FELLOWSHIPS.—(1) AUTHOR-
23 ITY.—The Secretary is authorized to establish a program
24 of Head Start Fellowships, in accordance with this sub-
25 section, for staff in local Head Start programs and other

1 individuals working in the field of child development and
2 family services.

3 “(2) PURPOSE.—The fellowship program under this
4 subsection shall be designed to enhance the ability of par-
5 ticipating fellows to make significant contributions to pro-
6 grams authorized under this subchapter, by providing
7 them opportunities to expand their knowledge and experi-
8 ence through exposure to activities, issues, resources, and
9 new approaches in the field of child development and fam-
10 ily services.

11 “(3) ASSIGNMENTS OF FELLOWS.—(A) PLACEMENT
12 SITES.—Fellowship positions under the program under
13 this subsection may be located (subject to subparagraphs
14 (B) and (C))—

15 “(i) in agencies of the Department of Health
16 and Human Services administering programs au-
17 thorized under this subchapter (and in national and
18 regional offices of such agencies);

19 “(ii) in local Head Start agencies and pro-
20 grams;

21 “(iii) in institutions of higher education;

22 “(iv) in public and private entities and organi-
23 zations concerned with services to children and fami-
24 lies; and

25 “(v) in other appropriate settings.

1 “(B) LIMITATION FOR FELLOWS OTHER THAN
2 HEAD START EMPLOYEES.—A Head Start Fellow who is
3 not an employee of a local Head Start agency or program
4 may be placed only in a fellowship position specified in
5 clause (i) or (ii) of subparagraph (A).

6 “(C) NO PLACEMENT IN LOBBYING ORGANIZA-
7 TIONS.—Head Start Fellowship positions may not be lo-
8 cated in any agency whose primary purpose, or one of
9 whose major purposes, is to influence Federal, State, or
10 local legislation.

11 “(4) SELECTION OF FELLOWS.—Fellowships under
12 this subsection shall be awarded, on a competitive basis,
13 to individuals (other than Federal employees) selected
14 from among applicants who are currently working in local
15 Head Start programs or otherwise working in the field of
16 child development and children and family services.

17 “(5) DURATION.—Fellowships under this subsection
18 shall be for terms of one year, and shall be renewable for
19 a term of one additional year.

20 “(6) AUTHORIZED EXPENDITURES.—From amounts
21 appropriated under this subchapter and allotted under
22 section 640(a)(2)(D), the Secretary is authorized to make
23 expenditures of not to exceed \$1,000,000 for any fiscal
24 year, for stipends and other reasonable expenses of the
25 program under this subsection.

1 “(7) STATUS OF FELLOWS.—Except as otherwise
2 provided in this paragraph, Head Start Fellows shall not
3 be deemed employees or otherwise in the service or em-
4 ployment of the United States Government. Head Start
5 Fellows shall be considered Federal employees for pur-
6 poses of compensation for injuries under chapter 81 of
7 title 5 of the United States Code. Head Start Fellows as-
8 signed to positions specified in paragraph (3)(A)(i) shall
9 be considered Executive Branch employees for the pur-
10 poses of chapter 11 of title 18 of the United States Code,
11 and of any administrative standards of conduct applicable
12 to the employees of the agency to which they are assigned.

13 “(8) REGULATIONS.—The Secretary shall promul-
14 gate regulations implementing the provisions of this sub-
15 section.”.

16 (b) MODEL STAFFING PATTERNS.—Section 648 is
17 amended by adding at the end the following new sub-
18 section:

19 “(e) MODEL STAFFING PATTERNS.—Within one year
20 after enactment of this subsection, the Secretary, in con-
21 sultation with appropriate public and private agencies and
22 organizations and with individuals with expertise in the
23 field of child and family services, shall develop model staff-
24 ing plans to provide guidance to local Head Start agencies
25 and programs on the numbers, types, responsibilities, and

1 qualifications of staff required to operate a Head Start
2 program.”.

3 (c) CONFORMING AMENDMENT.—Section 648 is
4 amended in the caption, to read: “TECHNICAL ASSIST-
5 ANCE AND TRAINING”.

6 **SEC. 5. GOALS AND PRIORITIES FOR TRAINING AND TECH-**
7 **NICAL ASSISTANCE.**

8 Section 648, as amended by section 4, is further
9 amended—

10 (1) in subsection (a)(2), by striking “Head
11 Start programs, including” and inserting instead
12 “Head Start programs, in accordance with the proc-
13 ess, goals, and priorities set forth in subsections (b)
14 and (c). The Secretary shall provide, either directly
15 or through grants or other arrangements,”;

16 (2) by redesignating and relocating as sub-
17 section (f) the final sentence of subsection (a), as
18 amended by paragraph (1);

19 (3) by striking subsection (c); and

20 (4) by inserting after subsection (a) the follow-
21 ing new subsections:

22 “(b) GOALS.—The process for determining the tech-
23 nical assistance and training activities to be carried out
24 under this section shall—

1 “(1) ensure that the needs of local Head Start
2 agencies and programs relating to improving pro-
3 gram quality and to program expansion are ad-
4 dressed to the maximum extent feasible.

5 “(2) incorporate mechanisms to ensure respon-
6 siveness to local needs, including an ongoing proce-
7 dure for obtaining input from the Head Start com-
8 munity; and

9 “(c) SPECIFIC PURPOSES.—In allocating resources
10 for technical assistance and training under this section,
11 the Secretary shall—

12 “(1) give priority consideration to activities to
13 correct program and management deficiencies identi-
14 fied through monitoring pursuant to section 641A
15 (including the provision of assistance to local pro-
16 grams in the development of quality improvement
17 plans);

18 “(2) address the training and career develop-
19 ment needs of both classroom and non-classroom
20 staff, including home visitors and other staff work-
21 ing directly with families, including training relating
22 to increasing parent involvement and services de-
23 signed to increase family literacy and improve
24 parenting skills;

1 “(3) assist Head Start agencies and programs
2 to conduct and participate in community-wide strategic
3 planning and needs assessment;

4 “(4) assist Head Start agencies and programs
5 in the development of sound management practices,
6 including financial management procedures; and

7 “(5) assist in efforts to secure and maintain
8 adequate facilities for Head Start programs.”.

9 **SEC. 6. ALLOCATION OF FUNDS FOR PROGRAM EXPANSION.**

10 “(a) ALLOCATION OF FUNDS WITHIN STATES.—Section
11 640(g) is amended—

12 (1) by striking “(g)” and inserting “(g)(1)
13 COST-OF-LIVING ADJUSTMENTS TO GRANTEES.—”;
14 and

15 (2) by adding at the end the following new
16 paragraphs:

17 “(2) ALLOCATION OF EXPANSION FUNDS WITHIN
18 STATES.—In allocating funds within a State, for the purposes
19 of expanding Head Start programs, from amounts
20 allotted to a State pursuant to paragraph (4), the Secretary
21 shall take into consideration the following factors:

22 “(A) the quality of the applicant’s current programs
23 (including Head Start and other child care or
24 child development programs and, in the case of current
25 Head Start programs, the extent to which such

1 programs meet or exceed performance standards and
2 other requirements under this subchapter);

3 “(B) the applicant’s capacity to expand services
4 (including, in the case of current Head Start pro-
5 grams, whether the applicant accomplished any prior
6 expansions in an effective and timely manner);

7 “(C) the extent to which the applicant has un-
8 dertaken community-wide strategic planning and
9 needs assessments involving other community orga-
10 nizations serving children and families;

11 “(D) the numbers of eligible children in each
12 community who are not participating in Head Start;
13 and

14 “(E) the concentration of low-income families in
15 each community.

16 “(3) ALLOCATION OF EXPANSION FUNDS TO INDIAN
17 AND MIGRANT PROGRAMS AND TO TERRITORIES.—In de-
18 termining the amount of funds reserved pursuant to sec-
19 tion 640(a)(2) (A) or (B) to be used for expanding Head
20 Start programs under this subchapter, the Secretary shall
21 take into consideration, to the extent appropriate, the fac-
22 tors specified in paragraph (2).”.

23 (b) CONFORMING AMENDMENT.—Section 641(f) is
24 repealed.

1 **SEC. 7. ALLOCATION AND USE OF FUNDS FOR QUALITY IM-**
2 **PROVEMENT.**

3 (A) ALLOCATION; USE OF FUNDS.—Section
4 640(a)(3) is amended—

5 (1) by redesignating subparagraph (B) as sub-
6 paragraph (D);

7 (2) in the matter preceding clause (i) of sub-
8 paragraph (A), to read as follows:

9 “(3) QUALITY IMPROVEMENT.—(A) RESERVA-
10 TION.—(i) The Secretary shall reserve, for activities speci-
11 fied in subparagraph (C) directed at the goals specified
12 in subparagraph (B), a share of the amount (if any) by
13 which such appropriations exceed the adjusted prior year
14 appropriation (as defined in clause (ii)) equal to—

15 “(I) 25 percent of such amount, plus

16 “(II) any additional amount the Secretary may
17 find necessary to address a demonstrated need for
18 additional quality improvement activities.

19 “(ii) ADJUSTED PRIOR YEAR APPROPRIATION DE-
20 FINED.—The term ‘adjusted prior year appropriation’
21 means, with respect to a fiscal year, the amount appro-
22 priated pursuant to section 639(a) for the preceding fiscal
23 year adjusted to reflect the percentage change in the
24 Consumer Price Index for All Urban Consumers (issued
25 by the Bureau of Labor Statistics) during such preceding
26 fiscal year.

1 “(B) GOALS.—Quality improvement funds reserved
2 under this paragraph shall be used to accomplish any or
3 all of the following goals:

4 “(i) Ensuring that Head Start programs meet
5 or exceed performance standards pursuant to section
6 641A.

7 “(ii) Ensuring that programs have adequate
8 qualified staff, and that such staff are furnished
9 adequate training.

10 “(iii) Ensuring that salary levels are adequate
11 to attract and retain qualified staff.

12 “(iv) Using salary increases to improve staff
13 qualifications and to assist with the implementation
14 of career development programs.

15 “(v) Improving community-wide strategic plan-
16 ning and needs assessments.

17 “(vi) Ensuring that the physical environments
18 of Head Start programs are conducive to providing
19 effective program services to children and families.

20 “(vii) Making such other improvements in pro-
21 gram quality as the Secretary may designate.

22 “(C) ACTIVITIES.—Quality improvement funds re-
23 served under this paragraph shall be used to carry out
24 any or all of the following activities:”;

1 (3) in subparagraph (C), as redesignated, by
2 adding at the end the following new clause:

3 “(vii) Such other activities as the Secretary
4 may designate.”; and

5 (4) in subparagraph (D), as redesignated—

6 (A) in clause (i)—

7 (i) in the matter preceding subclause
8 (I), by striking “for the first, second, and
9 third fiscal years for which funds are so re-
10 served”; and

11 (ii) in subclause (II), by inserting
12 “territories, and programs serving Indian
13 and migrant children,” after “States,”;

14 (B) by striking clauses (ii) and (iii);

15 (C) in clause (iv)—

16 (i) by striking all that precedes the
17 first comma and inserting “Funds”;

18 (ii) by striking “clause (ii)” the first
19 place it appears and inserting “clause (i)”;

20 (iii) by inserting before the period at
21 the end of the first sentence, “, for expend-
22 iture for activities specified in subpara-
23 graph (C)”;

24 (iv) by striking the second sentence;

25 and

1 (D) by striking clause (v), and redesignat-
2 ing clauses (iv) and (vi) as clauses (ii) and (iii),
3 respectively.

4 (b) CONFORMING AMENDMENT.—Paragraphs (4)
5 and (5) of section 637 are repealed.

6 **SEC. 8. TRANSITION COORDINATION WITH SCHOOLS.**

7 (a) COORDINATION REQUIREMENTS.—Section 642 is
8 amended—

9 (1) in subsection (c), by striking “schools that
10 will subsequently serve children in Head Start pro-
11 grams,”; and

12 (2) by adding after subsection (c) the following
13 new subsection:

14 “(d) FACILITATING TRANSITION TO SCHOOL.—(1)
15 GENERAL REQUIREMENT.—Each Head Start agency shall
16 undertake the actions specified in this subsection, to the
17 extent feasible and appropriate in the circumstances (in-
18 cluding the extent to which such agency is able to secure
19 the cooperation of parents and schools) to enable children
20 to maintain the developmental gains achieved in Head
21 Start and to build upon such gains in further schooling.

22 “(2) COORDINATION WITH SCHOOLS.—The Head
23 Start agency shall take steps to coordinate with the local
24 educational agency and with schools in which children par-
25 ticipating in a Head Start program operated by such agen-

1 cy will enroll following such program, including the follow-
2 ing:

3 “(A) developing and implementing a systematic
4 procedure for transferring Head Start records on
5 each participating child to the school in which such
6 child will enroll;

7 “(B) establishing channels of communication
8 between Head Start staff and their counterparts in
9 the receiving schools (including teachers, social
10 workers, and health staff) to facilitate coordination
11 of programs; and

12 “(C) conducting meetings involving parents,
13 kindergarten or primary school teachers, and Head
14 Start teachers to discuss the developmental and
15 other needs of individual children; and

16 “(D) organizing and participating in joint tran-
17 sition-related training of school staff and Head Start
18 staff.

19 “(3) PROMOTION OF PARENTAL INVOLVEMENT.—In
20 order to promote the continued involvement of Head Start
21 parents in their children’s education upon transition to
22 school, the Head Start agency shall—

23 “(A) provide training to Head Start parents—

1 “(i) to inform them about their rights and
2 responsibilities concerning their children’s edu-
3 cation; and

4 “(ii) to enable them to understand and
5 work with schools in order to communicate with
6 teachers and other school personnel, to support
7 their children’s school work, and to participate
8 as appropriate in decisions relating to their chil-
9 dren’s education; and

10 “(B) take other actions, as appropriate and fea-
11 sible, to support the active involvement of parents
12 with schools, school personnel, and school-related or-
13 ganizations.

14 “(4) APPLICATION OF DEMONSTRATION RESULTS.—
15 The Secretaries of Health and Human Services and Edu-
16 cation shall assess the results of the demonstration
17 projects funded under the Head Start Transition Project
18 Act and shall work together to provide technical assistance
19 to enable communities to implement promising practices
20 emerging from these demonstrations for improving the
21 Head Start Program and programs of the schools.”.

22 (b) EXTENSION OF SET-ASIDE FOR HEAD START
23 TRANSITION PROJECT ACT.—(1) IN GENERAL.—Section
24 639(c) is amended—

25 (A) by striking paragraph (1);

1 (B) by striking “(2)”; and

2 (C) by striking “1992, 1993, and 1994” and
3 inserting “1992 through 1996”.

4 (2) REFERENCE.—Section 640(a)(5) is amended by
5 striking “The” and inserting “ALLOTMENTS AMONG
6 STATES.—Subject to section 639(c), the”.

7 **SEC. 9. RESEARCH, DEMONSTRATIONS, EVALUATION, AND**
8 **REPORTS.**

9 (a) RESEARCH, DEMONSTRATIONS, AND EVALUA-
10 TION.—Section 649, including the caption thereof, is
11 amended to read as follows:

12 “RESEARCH, DEMONSTRATIONS, AND EVALUATION

13 “SEC. 649. (a) IN GENERAL.—(1) Requirement;
14 General Purposes.—The Secretary shall carry out a con-
15 tinuing program of research, demonstrations, and evalua-
16 tion, in order to—

17 “(A) foster continuous improvement in the
18 quality of the Head Start Program under this sub-
19 chapter and in its effectiveness in enabling partici-
20 pating children and their families to succeed in
21 school and in everyday life; and

22 “(B) use the Head Start Program as a national
23 laboratory for developing, testing, and disseminating
24 new ideas and approaches for addressing the needs
25 of low-income pre-school children and their families

1 and communities, and otherwise to further the pur-
2 poses of this subchapter.

3 “(2) PLAN.—The Secretary shall develop, and peri-
4 odically update, a plan governing the research, demonstra-
5 tion, and evaluation activities under this section.

6 “(b) CONDUCT OF RESEARCH, DEMONSTRATIONS,
7 AND EVALUATION.—The Secretary, in order to conduct
8 research, demonstrations, and evaluations under this sec-
9 tion—

10 “(1) may carry out such activities directly, or
11 through grants to, or contracts or cooperative agree-
12 ments with, public and private entities;

13 “(2) shall, to the extent appropriate, undertake
14 such activities in collaboration with other Federal
15 and non-Federal agencies conducting similar activi-
16 ties;

17 “(3) shall ensure that evaluation of activities in
18 a specific program or project are conducted by per-
19 sons not directly involved in the operation of such
20 program or project;

21 “(4) may require Head Start agencies to pro-
22 vide for independent evaluations; and

23 “(5) may approve, in appropriate cases, com-
24 munity-based cooperative research and evaluation ef-
25 forts to enable local Head Start programs to collabo-

1 rate with qualified researchers not directly involved
2 in program administration or operation.

3 “(c) CONSULTATION AND COLLABORATION.—In car-
4 rying out the activities under this section, the Secretary
5 shall—

6 “(1) consult with individuals—

7 “(A) from relevant academic disciplines;

8 “(B) involved in the operation of Head
9 Start and other child and family service pro-
10 grams; and

11 “(C) from other Federal agencies and or-
12 ganizations involved with children and families,
13 ensuring that such individuals reflect the multi-cul-
14 tural nature of the Head Start population and the
15 multi-disciplinary nature of the Head Start Pro-
16 gram;

17 “(2) whenever feasible and appropriate, obtain
18 the views of persons participating in and served by
19 programs and projects assisted under this sub-
20 chapter with respect to activities under this section;
21 and

22 “(3) establish, to the extent appropriate, work-
23 ing relationships with the faculties of colleges or uni-
24 versities located in the area in which any evaluation
25 under this section is being conducted, unless there is

1 no such college or university willing and able to par-
2 ticipate in such evaluation.

3 “(d) SPECIFIC OBJECTIVES.—The research, dem-
4 onstration, and evaluation programs under this part shall
5 include components designed to—

6 “(1) permit ongoing assessment of the quality
7 and effectiveness of the program under this sub-
8 chapter;

9 “(2) contribute to developing knowledge con-
10 cerning factors associated with the quality and effec-
11 tiveness of Head Start programs and in identifying
12 ways in which services provided under this sub-
13 chapter may be improved;

14 “(3) assist in developing knowledge concerning
15 the factors which promote or inhibit healthy develop-
16 ment and effective functioning of children and their
17 families both during and following the Head Start
18 experience;

19 “(4) permit comparisons of children and fami-
20 lies participating in Head Start programs with chil-
21 dren and families receiving other child care, early
22 childhood education, and child development services
23 and with other appropriate control groups;

24 “(5) contribute to understanding the character-
25 istics and needs of population groups eligible for

1 services provided under this subchapter and the im-
2 pact of such services on the individuals served and
3 the communities in which such services are provided;

4 “(6) provide for disseminating and promoting
5 the use of the findings from such research, dem-
6 onstration, and evaluation activities; and

7 “(7) promote exploration of areas in which
8 knowledge is insufficient, and which will otherwise
9 contribute to fulfilling the purposes of this sub-
10 chapter.

11 “(e) LONGITUDINAL STUDIES.—In developing prior-
12 ities for research, demonstration, and evaluation activities
13 under this section, the Secretary shall give special consid-
14 eration to longitudinal studies which—

15 “(1) examine the developmental progress of
16 children and their families both during and following
17 the Head Start Program experience, including the
18 examination of factors which contribute to or detract
19 from such progress; and

20 “(2) examine factors related to improving the
21 quality of the Head Start Program experience and
22 the preparation it provides for children and their
23 families to function effectively in schools and other
24 settings in the years following Head Start; and

1 “(3) as appropriate, permit comparison of chil-
2 dren and families participating in Head Start pro-
3 grams with children and families receiving other
4 child care, early childhood education, and child de-
5 velopment services, and with other appropriate con-
6 trol groups.

7 “(f) OWNERSHIP OF RESULTS.—The Secretary shall
8 take necessary steps to ensure that all studies, reports,
9 proposals, and data produced or developed with Federal
10 funds under this subchapter shall become the property of
11 the United States.”.

12 (b) REPORTS.—Section 651 is amended—

13 (1) in the caption, to read “REPORTS”;

14 (2) by striking subsections (a) through (f);

15 (3) by striking “(g)”;

16 (4)(A) by striking “and” at the end of para-
17 graph (11);

18 (B) by striking the period at the end of para-
19 graph (12) and inserting “; and”; and

20 (C) by adding after paragraph (12) the follow-
21 ing new paragraph:

22 “(13) a summary of the research, demonstra-
23 tion, and evaluation activities conducted under sec-
24 tion 649, including—

7 (1) Sections 640A, 650, and 651A are repealed.

10 SEC. 10. INITIATIVE ON FAMILIES WITH INFANTS AND TOD-
11 DLERS.

15 “PROGRAMS FOR FAMILIES WITH INFANTS AND
16 TODDLERS

“(1) programs providing family-centered services for low-income families with very young children designed to promote the development of their children, to fulfill their roles as parents, and to move toward self-sufficiency; and

1 “(2) evaluation of, and provision of training
2 and technical assistance to, projects under the Com-
3 prehensive Child Development Centers Act of 1988.

4 “(b) FAMILIES ELIGIBLE TO PARTICIPATE.—Persons
5 who may be served by projects described in subsection
6 (a)(1) include pregnant women, and families with children
7 under age three (or under age five, in the case of children
8 served by a grantee specified in subsection (e)(2)), who
9 meet the criteria specified in section 645(a)(1).

10 “(c) SCOPE AND DESIGN OF PROGRAMS.—Programs
11 receiving assistance under this section shall—

12 “(1) provide, either directly or through referral,
13 early, continuous, intensive, and comprehensive child
14 development and family support services which will
15 enhance the physical, social, emotional, and intellec-
16 tual development of participating children;

17 “(2) ensure that the level of services provided
18 to families responds to their needs and cir-
19 cumstances;

20 “(3) promote positive parent-child interactions;

21 “(4) provide services to parents to support their
22 role as parents and to help them move toward self-
23 sufficiency;

1 “(5) coordinate services with existing programs
2 in the State and community to ensure a comprehen-
3 sive array of services;

4 “(6) coordinate with local Head Start programs
5 in order to ensure continuity of services for children
6 and families;

7 “(7) (in the case of a program operated by a
8 Head Start agency that also provides Head Start
9 services through the age of mandatory school attend-
10 ance) ensure that participating children and families
11 receive such services through such age; and

12 “(8) meet such other requirements concerning
13 program design and operation as the Secretary may
14 establish.

15 “(d) ELIGIBLE SERVICE PROVIDERS.—Entities that
16 may apply to operate services projects under this section
17 include—

18 “(1) entities operating Head Start programs
19 under this subchapter;

20 “(2) entities that, on the date of enactment of
21 this provision, were operating—

22 “(A) Parent-Child Centers receiving finan-
23 cial assistance under section 640(a)(4), or

24 “(B) Comprehensive Child Development
25 Projects receiving financial assistance under the

1 Comprehensive Child Development Centers Act
2 of 1988; and

3 “(3) other public and non-profit private entities
4 capable of providing child and family services
5 that meet the standards for participation in programs
6 under this subchapter and such other appropriate require-
7 ments relating to the program under this section as the
8 Secretary may establish.

9 “(e) TIME-LIMITED PRIORITY FOR CERTAIN ENTI-
10 TIES.—(1) IN GENERAL.—From amounts allotted pursu-
11 ant to paragraphs (2) and (4) of section 640(a), the Sec-
12 retary shall provide financial assistance in accordance with
13 paragraphs (2) through (4) of this subsection.

14 “(2) PARENT-CHILD CENTERS.—The Secretary shall
15 make financial assistance available under this section for
16 each of fiscal years 1995, 1996, and 1997 to any entity
17 that—

18 “(A) complies with the standards and require-
19 ments established by the Secretary under subsection
20 (d); and

21 “(B) received funding as a Parent-Child Center
22 pursuant to section 640(a)(4) for fiscal year 1994.

23 “(3) COMPREHENSIVE CHILD DEVELOPMENT CEN-
24 TERS (CCDCs).—In the case of an entity that—

1 “(A) complies with the standards and require-
2 ments established by the Secretary under subsection
3 (d); and

4 “(B) received a grant for fiscal year 1994 to
5 operate a project under the Comprehensive Child
6 Development Centers Act of 1988, the Secretary—
7 shall make financial assistance available under this section
8 for the duration of the demonstration project period speci-
9 fied in the grant award to such entity under such Act,
10 and shall permit such entity, in the program assisted
11 under this section, to serve children from birth through
12 age 5.

13 “(4) EVALUATIONS, TRAINING, TECHNICAL ASSIST-
14 ANCE RELATING TO CCDCs.—The Secretary shall make
15 funds available under this section as necessary to provide
16 for the evaluation of, and furnishing of training and tech-
17 nical assistance to, child development projects (specified
18 in paragraph (3)) under the Comprehensive Child Devel-
19 opment Centers Act of 1988.

20 “(f) SELECTION OF OTHER GRANTEES.—From allot-
21 ments pursuant to paragraphs (2) and (4) of section
22 640(a) (in amounts equal to the balance remaining of the
23 amount specified in section 640(a)(6) after making grants
24 to the eligible entities specified in subsection (e)), the Sec-
25 retary shall award grants under this paragraph on a com-

1 petitive basis to applicants meeting the criteria specified
2 in subsection (d) (giving priority to entities with a record
3 of providing early, continuous, and comprehensive child-
4 hood development and family services).

5 “(g) SECRETARIAL RESPONSIBILITIES.—(1) GUIDE-
6 LINES.—The Secretary shall develop and publish guide-
7 lines concerning the content and operation of programs
8 under this section—

9 “(A) in consultation with experts in early child-
10 hood development and family services; and

11 “(B) taking into consideration the knowledge
12 and experience gained from other early childhood
13 programs, including programs under the Com-
14 prehensive Child Development Centers Act of 1988.

15 “(2) MONITORING, EVALUATION, TRAINING, AND
16 TECHNICAL ASSISTANCE.—In order to ensure the success-
17 ful operation of service programs under this section, the
18 Secretary shall monitor the operation of such programs,
19 evaluate their effectiveness, and provide training and tech-
20 nical assistance tailored to the particular needs of such
21 programs.”.

22 (b) FUNDS SET-ASIDE.—Section 640(a) is amend-
23 ed—

24 (1) in paragraph (1), by inserting “, and sub-
25 ject to paragraph (6)” before the period;

1 (2) in paragraph (3), by striking “paragraph
2 (5)” each place it appears and inserting “paragraph
3 (4)”;

4 (3) by striking paragraph (4), and redesignat-
5 ing paragraphs (5) and (6) as paragraphs (4) and
6 (5), respectively; and

7 (4) by adding after paragraph (5), as redesign-
8 ated, the following new paragraph:

9 “(6) FUNDING FOR PROGRAMS FOR FAMILIES WITH
10 INFANTS AND TODDLERS.—From amounts allotted pur-
11 suant to paragraphs (2) and (4), the Secretary shall use,
12 for grants for programs for families with infants and tod-
13 dlers under section 645A, a portion of the combined total
14 of such amounts equal to 3 percent for fiscal year 1995,
15 4 percent for each of fiscal years 1996 and 1997, and 5
16 percent for fiscal year 1998, of the amount appropriated
17 pursuant to section 639(a).”.

18 (c) CONSOLIDATION.—In recognition that the Com-
19 prehensive Child Development Centers Act has dem-
20 onstrated positive results, and that its purposes and func-
21 tions have been consolidated into section 645A of the
22 Head Start Act, the Comprehensive Child Development
23 Centers Act of 1988 is repealed.

1 **SEC. 11. ENHANCED PARENTAL INVOLVEMENT.**

2 “(a) CONSIDERATIONS IN DESIGNATING NEW HEAD
3 START AGENCIES.—Section 641(d) is amended—

4 (1) in paragraph (4), to read as follows:

5 “(4) the plan of such applicant—

6 “(A) to seek the involvement of parents of
7 participating children in activities designed to
8 help such parents become full partners in the
9 education of their children;

10 “(B) to afford such parents the oppor-
11 tunity to participate in the development, con-
12 duct, and overall performance of the program
13 at the local level;

14 “(C) to offer (directly or through referral
15 to local entities, such as Even Start programs)
16 to such parents—

17 “(i) family literacy services; and

18 “(ii) parenting skills training;

19 “(D) at the option of such applicant, to
20 offer (directly or through referral to local enti-
21 ties) to such parents—

22 “(i) parental social self-sufficiency
23 training;

24 “(ii) substance abuse counseling; or

1 “(iii) any other activity designed to
2 help such parents become full partners in
3 the education of their children; and

4 “(E) to provide, with respect to each par-
5 ticipating family, a family needs assessment
6 that includes consultation with such parents
7 about the benefits of parent involvement and
8 about the activities described in subparagraphs
9 (C) and (D) in which such parents may choose
10 to become involved (taking into consideration
11 their specific family needs, work schedules, and
12 other responsibilities;”;

13 (2) in paragraph (7), by inserting “and” after
14 the semicolon;

15 (3) by striking paragraph (8); and

16 (4) by redesignating paragraph (9) as para-
17 graph (8).

18 (b) FUNCTIONS OF HEAD START AGENCIES.—Sec-
19 tion 642(b) is amended—

20 (1) in paragraph (4), to read as follows:

21 “(4) seek the involvement of parents of partici-
22 pating children in activities designed to help such
23 parents become full partners in the education of
24 their children, and to afford such parents the oppor-
25 tunity to participate in the development, conduct,

1 and overall performance of the program at the local
2 level;”;

3 (2) in paragraph (5), by inserting “and” after
4 the semicolon;

5 (3) by striking paragraph (6);

6 (4) by redesignating paragraphs (5) and (7) as
7 paragraphs (8) and (9), respectively; and

8 (5) by inserting after paragraph (4) the follow-
9 ing new paragraphs:

10 “(5) offer (directly or through referral to local
11 entities, such as Even Start programs) to parents of
12 participating children family literacy services and
13 parenting skills training;

14 “(6) at the option of such agency, offer (di-
15 rectly or through referral to local entities) to such
16 parents parental social self-sufficiency training, sub-
17 stance abuse counseling, or any other activity de-
18 signed to help such parents become full partners in
19 the education of their children;

20 “(7) provide, with respect to each participating
21 family, a family needs assessment that includes con-
22 sultation with such parents about the benefits of
23 parent involvement and about the activities described
24 in paragraphs (4) through (6) in which such parents
25 may choose to be involved (taking into consideration

1 their specific family needs, work schedules, and
2 other responsibilities);”.

3 (c) FAMILY LITERACY SERVICES.—Section 637 is
4 amended by adding after paragraph (11) the following
5 new paragraph:

6 “(12) The term ‘family literacy services’ in-
7 cludes activities such as the following: interactive lit-
8 eracy activities between parents and their children,
9 training for parents on how to be their children’s
10 primary teacher and to be full partners in the edu-
11 cation of their children, parent literacy training, and
12 early childhood education.”.

13 **SEC. 12. AUTHORIZATION OF APPROPRIATIONS.**

14 Section 639, as amended by section 8(b), is further
15 amended—

16 (1) in subsection (a) by striking all that follows
17 “651A)” and inserting “such sums as necessary for
18 fiscal year 1995 and each of the three succeeding
19 fiscal years.”; and

20 (2) by striking subsection (b) and redesignating
21 subsection (c) as subsection (b).

22 **SEC. 13. MINOR AND TECHNICAL AMENDMENTS.**

23 (a) DEFINITION OF POVERTY LINE.—(1) Section
24 637(9) is amended to read as follows:

1 “(9) The term ‘poverty line’ means the official
2 poverty line (as defined by the Office of Manage-
3 ment and Budget).”.

4 (2) Section 652 is repealed.

5 (b) UPDATING OF HOLD-HARMLESS FOR INDIAN
6 AND MIGRANT PROGRAMS.—Section 640(a)(2)(A) is
7 amended by striking “1990” and inserting “1994”.

8 (c) USE OF HEAD START FUNDS FOR FULL-DAY
9 AND FULL-YEAR SERVICES.—Section 640(h) is amended
10 by striking “Each Head Start program may” and insert-
11 ing “Financial assistance provided under this subchapter
12 may be used by each Head Start program to”.

13 (d) DESIGNATION OF HEAD START AGENCIES.—Sec-
14 tion 641(c), as amended by section 2 of this Act, is further
15 amended—

16 (1) in the first sentence—

17 (A) by inserting “(subject to paragraph
18 (2))” before “, the Secretary shall give prior-
19 ity”;

20 (B) by striking “unless” and all that fol-
21 lows through the end of subparagraph (A) and
22 inserting the following “unless the Secretary
23 makes a finding that the agency involved fails
24 to meet program, fiscal, and other requirements
25 established by the Secretary.”;

1 (2) by redesignating subparagraph (B) as para-
2 graph (2) and relocating the left margin two ems to
3 the left;

4 (3) in paragraph (2), as redesignated—

5 (A) by striking “except that, if” and in-
6 serting “If”; and

7 (B) by striking “subparagraph (A)” and
8 inserting “paragraph (1)”; and

9 (4) by striking “Notwithstanding any other pro-
10 vision of this paragraph” and inserting the follow-
11 ing:

12 “(3) Notwithstanding any other provision of
13 this subsection”.

14 (e) FEDERAL REGISTER PUBLICATION REQUIRE-
15 MENT.—Section 644(d) is amended by striking “guide-
16 lines, instructions,”.

17 (f) DURATION OF SERVICES TO ELIGIBLE CHIL-
18 DREN.—Section 645(c) is amended—

19 (1) in the first sentence, by striking “may pro-
20 vide” and all that follows and inserting “shall be
21 permitted to provide more than one year of Head
22 Start services to eligible children in the State.”; and

23 (2) by striking the second sentence.

1 **SEC. 14. EFFECTIVE DATE.**

2 The provisions of this Act shall be effective with re-
3 spect to fiscal year 1995 and succeeding fiscal years.

○

HR 3842 IH——2

HR 3842 IH——3